PTO/SB/21 (04-04) (AW 06/2004)
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TRANSMITTAL **FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

	<u> </u>	
Application Number	10/613,452	
Filing Date	July 3, 2003	-
First Named Inventor	Mark Betterly	
Art Unit	1724	
Examiner Name	To Be Assigned	
Attorney Docket No.	BWC-115US	

	NCLOSURES (Check all that apply	<i>'</i>)	
Fee Transmittal Form Fee Attached	☐ Drawing(s) ☐ Licensing-related Papers		After Allowance Communication to Technology Center (TC)
Amendment/Reply After Final Affidavits/Declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s)	Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation, Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s)		Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): PTO-Form 1449, Return Receipt Postcard
Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	<u>Remarks</u> :		
SIGNATURE	OF APPLICANT, ATTORNEY OR AG	ENT	
Firm or Individual Name Signature Date September 14, 2004	Registration No. (Attorney/Ag	gent) 3	38,040
CERTIF	CATE OF TRANSMISSION / MAILING		
I hereby certify that this correspondence is being facsimile postage as first class mail in an envelope addressed to: C	transmitted to the USPTO or deposited with the Urommissioner for Patents, P.O. Box 1450, Alexandri	nited State	es Postal Service with sufficient 813-1450 on the date shown below:
Typed or printed name Joshua L. Cohen			
Signature	L. Colle	Date	September 14, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: 10/613,452 Applicant: Mark Betterly Filed: July 3, 2003

Title: FLUID TREATMENT SYSTEM

TC/A.U.: 1724

Examiner: To Be Assigned

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed before mailing of the first Official Action. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

Joshua L. Cohen, Reg. No. 38,040 Attorney for Applicant

JLC/ap

Enclosures: PTO Form 1449

Transmittal Form

Dated: September 14, 2004

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Substitute to	NABER	

STATEMENT BY APPLICANT (Use as many sheets as necessary)

INFORMATION DISCLOSURE

	Complete if Known	
Application Number	10/613,452	
Filing Date	July 3, 2003	
First Named Inventor	Mark Betterly	
Art Unit	1724	
Examiner Name	To Be Assigned	
Attorney Docket No.	BWC-115US	

SHEET 1 of 1			Attorn	rney Docket No. BWC-115US					
	,		U.S. PAT	ENT D	OCUMENTS	;			
Examiner Initials*	Cite No.1	Document Number Number - Kind Code ^{2 (if known)}	Publication Date (MM-DD-YYYY) 05/04/1999		Name of Patentee or Applicant of Cited Document			Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear Entire Document	
		US-5,900,212			Maiden et a	iden et al.			
		US-6,579,495 B1	06/17/200)3	Maiden			Entire Document	
		US-6,110,424	08/29/200		Maiden et a	al.		Entire Document	
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		Foreign Patent Document				Name of Patentee or		Pages, Columns, Lines, Where Relevant	
Examiner Cite Initials* No.1	Country Code ³ - Number ⁴ - Kind Code ⁵ (If known)			Publication Date (MM-DD-YYYY) Applicant of Cited Document		Passages or Relevant Figures Appear	T⁵		
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Examiner Signature							Date Considered		

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

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